

Yashwantrao Chavan Maharashtra Open University, Nashik (M.S) 422 222

Ph.D ORDINANCE 2017

(Under Section 23 of YCMOU Act, 1989)

(As approved by the Academic Council of University in its 55th Meeting held on 14.07.2017)

1. Preamble

Instruction, Extension and Research are the three main domains of every University. The research domain pertains ultimately to the welfare of society. It entails creation of new knowledge and an integral experiential encounter with subjects constituting the society. Together, this forms a research canon that is central to the functioning of a reputed academic institution like **Yashwantrao Chavan Maharashtra Open University**, **Nashik** (YCMOU) and is a material expression of its scholarship reflected in the award of Research Degree/s.

- 1.1 The Research Degree Programs i.e. Master of Philosophy (M. Phil.), and Doctor of Philosophy (Ph. D.), will be offered and conducted by the YCMOU in accordance with the provisions contained in YCMOU Regulations (for Research Degree Programs) as amended from time to time. This Ordinance may be called the "Ph. D. (Doctor of Philosophy) Degree Programme of Yashwantrao Chavan Maharashtra Open University **Ordinance**, 2017.
- 1.2 This Ordinance shall come into force from the date of its approval by the Board of Management.

2. Statutory Structures

Research studies leading to the award of the Degree of Master of Philosophy (M. Phil.) / Doctor of Philosophy (Ph. D.) shall be organized and managed by the following bodies in accordance with their respective roles as specified hereunder.

2.1 Academic Council

The Research Degree Programs of YCMOU shall be in accordance with the Research Policy adopted by the Academic Council subject to the provision of the Act and the Statutes of YCMOU.

2.2 Research Council

There shall be a Research Council, which subject to the overall guidance and supervision of the Academic Council, shall be responsible for the approval, planning, management, organization, evaluation and monitoring of Research Degree Programs offered by the YCMOU. It will also ensure implementation of Social Reservation Policy adopted by the Government of Maharashtra.

2.2.1 Subject to the provisions of the Act and Statutes, the Research Council shall perform the following functions:

- (i) Management and administration of the research policy and research degree programs of YCMOU
- (ii) Formulation of Guidelines for registration, supervision, program design, curriculum transaction, evaluation and award of research degrees.
- (iii) Formulation of parameters for evaluating the Research Programs of YCMOU
- (iv) Recognition of Research Supervisor on the recommendations of the discipline specific Doctoral Research Committee (DRC) and concerned School Council.
- (v) To resolve disputes if any for determining which research areas / themes / topics are in the purview of a given School Council.
- (vi) Guidance and advice on research priorities and allocation of resources for research programs.
- (vii) Delegation of any of these functions to the School Councils.
- (viii) Preparation of the consolidated reports on research activities of YCMOU.
- (ix) Instituting grievance redressal mechanism and monitoring the matters pertaining to research in YCMOU.
- (x) Formulation of code of discipline for Research Students.
- (xi) Constitution of Committee for Research Ethics.

- (xii) Institute fellowships / Scholarships for Research Degree Students.
- (xiii) To create its standing committee or any such other committees as it may deem fit for conducting research degree program.
- (xiv) Any other work related to research, development and co-ordination delegated by Academic Council from time to time

2.2.2 The composition of the Research Council shall be as follows:

The RC for YCMOU shall consist of the following members:

- (i) The Vice-Chancellor shall be the **Chairperson** of the Research Council.
- (ii) Eight eminent experts as **Members** drawn from various subject domains, who are not employees of the University, nominated by the Vice-Chancellor. Out of these eight Members, two Members will be one each from Board of Management and Academic Council respectively.
- (iii) Director of each School and Division of YCMOU and One representative Director of RC as a **Member**.
- (iv) Three teachers [1 Professor, 1 Associate Professor and 1 Assistant Professor (who are already Research Supervisors) of YCMOU by seniority and by rotation, nominated as a **Member** by the Vice-Chancellor.
- (v) Controller of Examinations as a **Member**.
- (vi) Director / Head, Research Unit (RU) shall be the **Member Secretary** of the Research Council.
- 2.2.3 The term of the office of the members will be **three years** from the date of nomination.
- 2.2.4 The Research Council shall meet at least **twice** in a year. One third of the total membership shall form the quorum for the meeting.

2.3 School Council

The School Council, as constituted under the provisions of Statute No. 6 (4 of 1992) Subsection 5 of Section 15 of the Act, and with the guidance of the Research Council, shall make operational the Research Degree Programs in the disciplines (having permanent Faculty) assigned to the Schools concerned in accordance with the policies and guidelines laid down by the Academic Council and the Research Council. In case Academic Council decides/authorises any other Institutions/ Centres to offer research degree programme in the areas not assigned to any school, a separate Research Committee may be constituted for the purpose of discharging functions of Doctoral Research Committee and School Board for administering the Research Degree Programme under the control of Research Council. For this purpose, separate guidelines will be formulated by the Research Council.

3. Registration and Procedure for Admission

3.1 The registration and procedure for admission, allocation of supervisor, eligibility criteria for a research supervisor, approval of synopsis, structure of the M. Phil. / Ph.D. program, course work, duration of the program, disbursement of fellowships / scholarships (if any), the procedure for submission of M. Phil. / Ph. D. thesis, monitoring of progress of research students, appointment of evaluators, provisions for recognition of research institutes for offering M. Phil. / Ph.D. program under joint collaboration, depository with INFLIBNET, general provisions relating to alternative awards, special awards etc. Will be as per the provisions contained in **YCMOU Regulations for conducting Research Degree Program,** in consonance with UGC Regulations, 2016 and as amended from time to time.

4. Award of M. Phil. /Ph. D. Degree

A student shall be awarded the M.Phil. / Ph. D. Degree in the manner prescribed in the Regulations and Guidelines with the approval of the Academic Council.

5. Grievance Redressal Committee

Grievances, if any, may be dealt by the Grievance Redressal Committee to be constituted by the Vice Chancellor and its report will be submitted to the Vice Chancellor.

6. Removal of Difficulties

Notwithstanding anything contained in the above Ordinance, the Vice-Chancellor on the recommendations of the School Council / DRC and approval of the Research Council may take such measures as may be necessary in respect of students for M. Phil. / Ph. D. who are registered with the University.